

<b>Region:</b>  I	<b>Contact Person/s:</b>  Enter Name Here Tim Coughlin Betty Omgvig
<b>Telephone:</b> 701-774-4624	<b>Fax:</b> 701-774-4620
<b>Email:</b> <a href="mailto:81cout@nd.gov">81cout@nd.gov</a> , <a href="mailto:81omvb@nd.gov">81omvb@nd.gov</a>	
<b>Who was involved in the QIP development:</b> Tim Coughlin, Betty Omgvig, Barb Olson, Jaret Cvancara, Bernadine Young Bird, Rose Shafer, Sonya Owan, Rhonda Bartlette, Shelly Stockman, Rod Gillund, Janelle Olson, Andrea Peterson, Darlas Rogers, Cindy Gardner, Julie Quamme, Harlan Fixen, Cheryl Saeman, Jackie Teske, Maranda Phelan, Angie Dubovoy, Kathy Molland, Tracy Murray	
<b>What data was reviewed to support findings?</b> Data from ASSIST; December 1, 2005 618 data; Child Outcomes Measurement tool; Family Outcomes Measurement Tool; File Review Data; Compliance Review Data	
<b>Focus Group? Yes or No. If yes, describe the group, issues, and responses:</b> Yes, A focus group was organized to determine handicapped accessibility of various regional public buildings and playgrounds. Some of the buildings that were discussed included public library, play grounds, JC Penney, Junior High school, as well the Senior High school. Mayor's committee on employment and advancement for persons with disabilities will have a plan for individuals with special needs.	

#### Executive Summary:

*Please provide an executive summary of the team's findings in the research and analysis of data. You will want to include the major points that will be discussed in the rest of the plan. Highlight the accomplishments of the region, compare the regional data with state and federal targets, and provide an overview of what will be addressed in the coming year for improvement issues. Please make certain that you address the issues that are the focus of your improvement plan. Enter Executive Summary Here.....*

Region I DD case management and Infant Development staff continue to strive to meet all the components and intent of the Federal Part C Regulations.

Family needs are what drive the early intervention services in Region I. There are times when extenuating family circumstances do not always fall neatly into the Federal Part C Regulations. We feel the strengths of Region I services include (1) a good and close (literally just down the hall from one another) working relationship between DD case management and Infant Development staff; (2) both programs focus is on the family and what works best to meet family needs and have a strong commitment to families with the foremost goal to meet family needs (which means sometimes services may be provided out of the natural environment); (3) have a strong working relationship with referral agencies and other agencies that provide services to families enrolled in DD/ID services; both programs participate in training opportunities to increase awareness of Federal Regulations and implement best practice interventions for children and their families ages birth to three years; (4) DD/ID staff have a good working relationship with Part B program staff and have developed and utilize effective referral and transition processes. A major difficulty in dealing with transition issues deals with children whose transition meeting timelines fall during the summer months. We deal with transition issues on a case-by-case basis to meet the needs of the family and the intent of Federal Part C Regulations.

A major compliance issue for Region I continue to be the lack of availability of trained individuals to complete hearing screenings. Over a year ago, the State DD office staff in Bismarck informed Infant Development programs that OAE machines and training, for each Region, would be provided to Early Intervention staff on the use of the machines. Thus far, an OAE machine and training has not been available in Region I. Some children receive hearing evaluations when a physician makes a referral. Physicians have only referred children who have a history of ear infections or another medical high risk factor.

Monitoring Priority: Early Intervention in Natural Environments

**Part C Priority Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.**

Measurement: Percent = # of infants and toddlers with IFSPs who receive the EI service on their IFSPs in a timely manner divided by the total # of infants and toddlers with IFSPs times 100.

**Overview of Issue/Description of System Process:**

Numerator is the number of children of whom all services were received in a timely manner divided by the number of children whose files were reviewed. If a child had more than one service and not all services were received in a timely manner then the file was counted as out of compliance completely. Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

**Baseline Data:** 0 infants and toddlers with IFSPs received early intervention services on their IFSPs in a timely manner divided by 1 infants and toddler with IFSPs times 100 = **0 percent**.

**Discussion of Baseline Data:**

	Jul-Sept. 06 Region 1	Oct.-Dec. 06 Region 1	Jan.-Mar. 07 Region 1	Apr.-Jun. 07 Region 1	Overall State
# Children	1				27
# Services	0				16
Delivered timely %	0.00%				59.26%

Example: There are 2 service(s) being provided in Region 1 to one child. Of those service(s), 1 service is not being received in a timely manner. This child is not receiving all their services in a timely manner.

*The reason this service is not being received is due to ----*

There are several children who receive direct therapies such as ot, pt and or speech therapies. Therapies are prescribed by the local physician (sometimes at the request of the parent). The majority of the time, direct therapies are provided in an outpatient setting or at a local hospital. Therapy providers have indicated it is cost prohibitive to send therapists into a home setting because the facility can only be reimbursed for direct therapy time and not for travel time.

*The following graph illustrates the percentage of services received versus services not received. More information supporting this indicator can go here, such as within how many days were services started from IFSPs and how are the frequencies tracked.....also you can talk about what kind of service is not being received and if there is a trend or shortage there - answer those questions.*

**Measurable Rigorous Targets:**

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within the timeline specified on the IFSP.
2006 (2006 - 2007)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within the timeline specified on the IFSP.
2007 (2007 - 2008)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within the timeline specified on the IFSP.
2008 (2008 - 2009)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within the timeline specified on the IFSP.
2009 (2009 - 2010)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within the timeline specified on the IFSP.
2010 (2010 - 2011)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within the timeline specified on the IFSP.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
All IFSP's will be implemented in a timely manner as specified on the IFSP.	Immediately and ongoing	IFSP team, including parent/guardian, DD case management and Infant Development Early Interventionist.
In order to be in compliance with consultations, service start dates will be listed to meet frequency time lines. Example: If service is listed for 4 X a year, the start date will be near the end of the first three months and just prior to the consultation service being received.	Immediately and ongoing	DD case management and Infant Development Early Interventionist
Infant Development staff will review IFSP documentation procedures for listing all therapies on consultations.	July 2007 and ongoing	Infant Development Early Intervention staff

Monitoring Priority: Early Intervention in Natural Environments

**Part C Priority Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive EI services in the home or programs for typically developing children.**

Measurement: Percent = # of infants and toddlers with IFSPs who receive EI services in the home or programs for typically developing children divided by the total # of infants and toddlers with IFSPs times 100.

**Overview of Issue/Description of System Process:**

Data is pulled from ASSIST query and located in the Excel file on tab labeled 'Indicator 2 Region 1'.

**Baseline Data:** 33 infants and toddlers with IFSPs received early intervention services in the home or programs for typically developing children divided by 33 infants and toddler with IFSPs times 100 = **100 percent**.

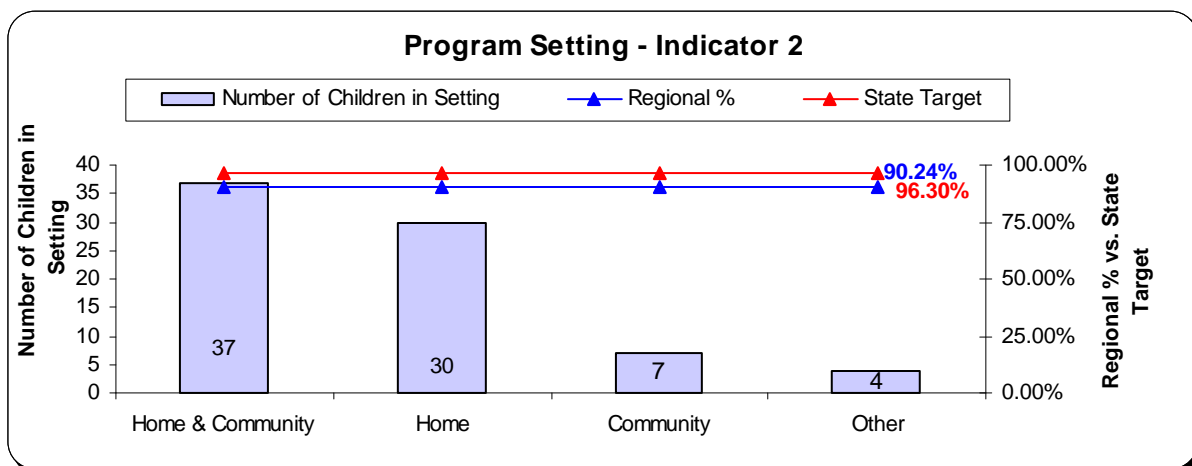
**Discussion of Baseline Data:**

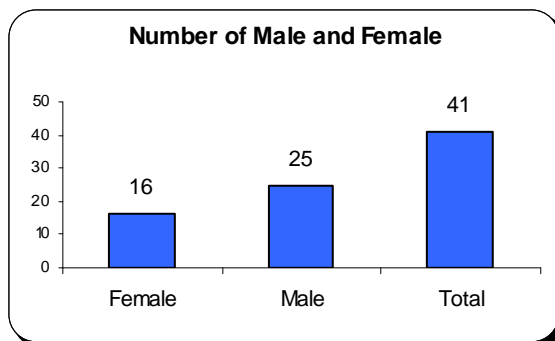
Example: Current Data

There are 41 infants and toddlers being served in Region 1. Of those, 7 are receiving services in a program for typically developing children and the other 30 are receiving services in their home. 4 infants/toddlers are being served in \_

Case Scenario 1: services may be provided in an outpatient setting (when the physician has prescribed out patient services); Case Scenario 2: several children have been seen at the Infant Development office at the request of the parent. Several parents have requested to have out of home visits for a variety of reasons. One reason has been a parent does not have a permanent living arrangement and goes to the home of one family member or another. A second reason parents have given for wanting services out of the home is when another adult (may not be a family member) is living in the home, is a drug and or alcohol abuser and the parent does not want the early interventionist in the home. A third Case Scenario has been when the home environment does not appear safe for the early interventionist to go unaccompanied. We will not put staff in a suspected unsafe environment.

Therefore, 90.24% are being served in the home or program for typically developing children. The State target for FFY 2005-2006 is for 96.3% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children. According to the data our region is exceeding the State target.





	Jul-Sept. 06 Region 1	Oct.-Dec. 06 Region 1	Jan.-Mar. 07 Region 1	Apr.-Jun. 07 Region 1	State Target
# Children	33	34	41		
Male	N/A	21	25		
Female	N/A	13	16		
Home & Community	33	34	37		
Male	N/A	21	21		
Female	N/A	13	16		
Other	0	0	4		
Male	N/A	0	4		
Female	N/A	0	0		
% in Home/Community	100.00%	94.44%	90.24%		96.30%

#### Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	96.3% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2006 (2006 - 2007)	96.4% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2007 (2007 - 2008)	96.5% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2008 (2008 - 2009)	96.6% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2009 (2009 - 2010)	96.8% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2010 (2010 - 2011)	97% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.

### Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
Efforts will always be made to provide Early Intervention services in the natural environment. However, we realize this will not always be achievable due to the case scenario reasons previously cited. Region I realizes there will always be situations that must be dealt with on a case by case basis. The Early Intervention program cannot control nor dictate the policies and procedures of out patient therapy providers. Early Intervention programs cannot control the living environments of the families receiving services and realize some families do live in unsafe environments.	Immediately and ongoing	IFSP team, including parent/guardian, DD case management and Infant Development Early Interventionist
Regional services providers will continue to be encouraged to provide services in a natural environment and educated on the benefits of children receiving the services in the natural environment.	Ongoing	DD case management and Infant Development Early Interventionist
Region I will make Part C allocations available to contract staff/consultants to attend trainings on services being provided in a natural environment.	Ongoing	DD case management and Infant Development Early Interventionist

Monitoring Priority: Early Intervention in Natural Environments

### Part C Priority Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication); and
- C. Use of appropriate behaviors to meet their needs.

Measurement:

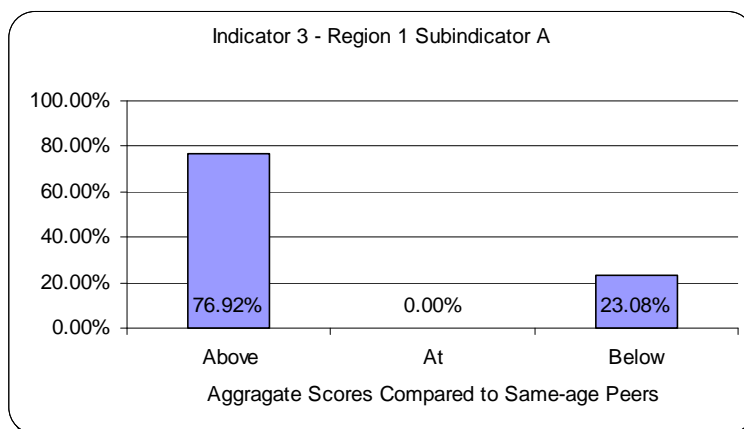
- A. Percent = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100;
- B. Percent = # of infants and toddlers who improve functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100; and
- C. Percent = # of infants and toddlers who did not improve functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100.

If children meet the criteria for A, report them in A. Do not include children reported in A in the B or C measurement. If A + B + C does not sum 100%, explain the difference.

### Overview of Issue/Description of System Process:

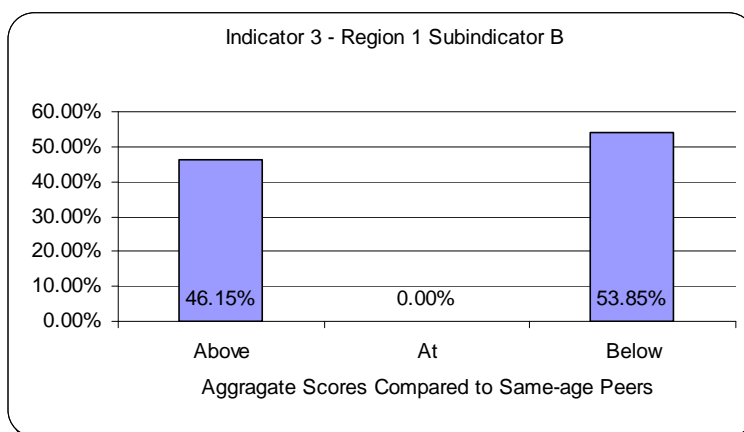
#### Baseline Data:

**A. Positive social-emotional skills (including social relationships);** Baseline data indicate that 13 of 16 files provided are clean; 76.92% children are functioning above age level, 0% are functioning at age level, and 23.08% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



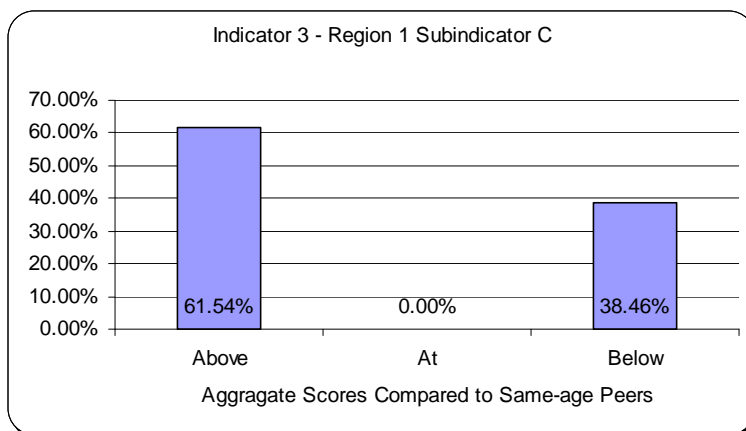
Indicator Table	N=	Sub Indicator A 10/1/06			N	Sub Indicator A 4/1/07		
		Above	At	Below		Above	At	Below
		1	1	1		13	0	7
		33.33%	33.33%	33.33%		65.00%	0.00%	35.00%

**B. Acquisition and use of knowledge and skills (including early language/communication);** Baseline data indicate that 13 of 16 files provided are clean; 46.15% children are functioning above age level, 0% are functioning at age level, and 53.85% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



Indicator Table	N=	Sub Indicator B 10/1/06			N	Sub Indicator B 4/1/07		
		Above	At	Below		Above	At	Below
		1	0	2		6	0	7
		33.33%	0.00%	66.67%		46.15%	0.00%	53.85%

**C. Use of appropriate behaviors to meet their needs.** Baseline data indicate that 13 of 16 files provided are clean; 61.54% children are functioning above age level, 0% are functioning at age level, and 38.46% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



Indicator Table	N=3	Sub Indicator C 10/1/06			N13	Sub Indicator C 4/1/07		
		Above	At	Below		Above	At	Below
		0	0	3		8	0	5
		0.00%	0.00%	100.00%		61.54%	0.00%	38.46%

**Discussion of Baseline Data:** Region 1 had 16 files with Child PAR data provided by the state through the ASSIST system query (Data pulled from ASSIST Child PAR and provided in excel workbook on Indicator 3 tab.) Of those, 3 contained data errors. Therefore, 13 files were used for baseline data. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.

#### Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	To be determined.
2006 (2006 - 2007)	To be determined.
2007 (2007 - 2008)	To be determined.
2008 (2008 - 2009)	To be determined.
2009 (2009 - 2010)	To be determined.
2010 (2010 - 2011)	To be determined.

#### Improvement Activities/ Timelines/ Resources:



Improvement Activities	Timelines	Resources/ Person(s) Responsible
<p>All children, in Region I receiving DD/ID services will have Individual Family Services Plans that address and meet the needs of the child including the following areas:</p> <p><b>A. Positive social-emotional skills (including social relationships);</b>  <b>B. Acquisition and use of knowledge and skills (including early language/communication); and</b>  <b>C. Use of appropriate behaviors to meet their needs.</b></p> <p>Region I DD/ID staff are cognizant of the fact some children have debilitating conditions; maybe on life supports and or their development maybe be regressive in nature. Program staff will be there to support families even if that means their child will not gain developmental skills.</p>	Ongoing	Infant Development Early Intervention staff and DD case management
Region I Infant Development staff and DD case management will review PAR data entry procedures on a semi-annual basis to assure data entry is accurate.	July 2007 and ongoing	Infant Development Early Intervention staff and DD case management
Timely entry of PAR data will include entering the initial PAR data within 30 days of eligibility determination and within the month the annual PAR is due.	Immediately and ongoing	Infant Development Early Intervention staff and DD case management

Monitoring Priority: Early Intervention in Natural Environments

**Part C Priority Indicator 4: Percent of families participating in Part C who report that EI service have helped the family:**

- A. Know their rights;**
- B. Effectively communicate their children's needs; and**
- C. Help their children develop and learn.**

Measurement:

- A. Percent = # of respondent families who report that EI services have helped the family know their rights divided by the total # of respondent families participating in Part C times 100;
- B. Percent = # of respondent families who report that EI services have helped the family effectively communicate their children's needs divided by the total # of respondent families participating in Part C times 100; and
- C. Percent = # of respondent families who report that EI services have helped the family help their children develop and learn divided by the total # of respondent families participating in Part C times 100.

#### Overview of Issue/Description of System Process:

Data is collected through a Family Survey. Results are located in the Excel file on tab labeled 'Indicator 4'.

#### Baseline Data:

- A. Respondents who feel that EI has helped their family know and understand their rights:

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
1	6	4	66.67%
<b>Statewide</b>	<b>213*</b>	<b>180</b>	<b>84.51%</b>

\*2 respondents skipped this question.

- B. Respondents who feel that EI has helped their family effectively communicate their child's needs:

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
1	6	4	66.67%
<b>Statewide</b>	<b>212</b>	<b>188</b>	<b>88.68%</b>

\*3 respondents skipped this question. Math doesn't add up. 4 responded and 3 skipped the question equals 7 respondents not 6. What is correct?

- C. Respondents who feel that EI has helped their family to be able to help their child develop and learn:

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
1	6	4	66.67%
<b>Statewide</b>	<b>213*</b>	<b>183</b>	<b>85.92%</b>

\*2 respondents skipped this question.

#### Discussion of Baseline Data:

#### Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	To be determined.
2006 (2006 - 2007)	To be determined.

2007 (2007 - 2008)	To be determined.
2008 (2008 - 2009)	To be determined.
2009 (2009 - 2010)	To be determined.
2010 (2010 - 2011)	To be determined.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
Early Intervention staff provides families with copies of the Parental Rights brochure. Staff explains the brochure to the families and asks them if they understand their rights and if they have any questions. Staff frequently asks families if they have any questions or need more information.	Immediately and ongoing	Infant Development Early Intervention staff and DD case management
Parents/families are involved in all evaluations/assessments completed on their child. Early Intervention staff explains, to the parents, the evaluation process, the testing tools being used and explain some specific test items. Early Intervention staff provides families with copies of the of evaluation/assessment reports and reviews the results with them. Staff frequently asks families if they have any questions or need more information.	Immediately and ongoing	Infant Development Early Intervention staff and DD case management
Early Intervention staff, on an ongoing basis, provides families with information regarding their child's learning and development. Information is provided verbally, in written form or information regarding web sites is provided to families on a case-by-case basis. Staff frequently asks families if they have any questions or need more information regarding their child's development.	Immediately and ongoing	Infant Development Early Intervention staff and DD case management

Monitoring Priority: Effective General Supervision Part C/Child Find

**Part C Priority Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to:**  
**A. State data.**

Measurement:

A. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to North Dakota.

**Overview of Issue/Description of System Process:**

Data is pulled from ASSIST query and located in the Excel file on tab labeled 'Indicator 5 Region 1'.

**Baseline Data:**

Quarterly data indicated that on April 1, 2007, the Region 1 early intervention system was serving 8 infants and toddlers birth to 1. The total population of Region 1 infants and toddlers birth to 1 was 296. **2.70 percent** of the total population under 1 was served.

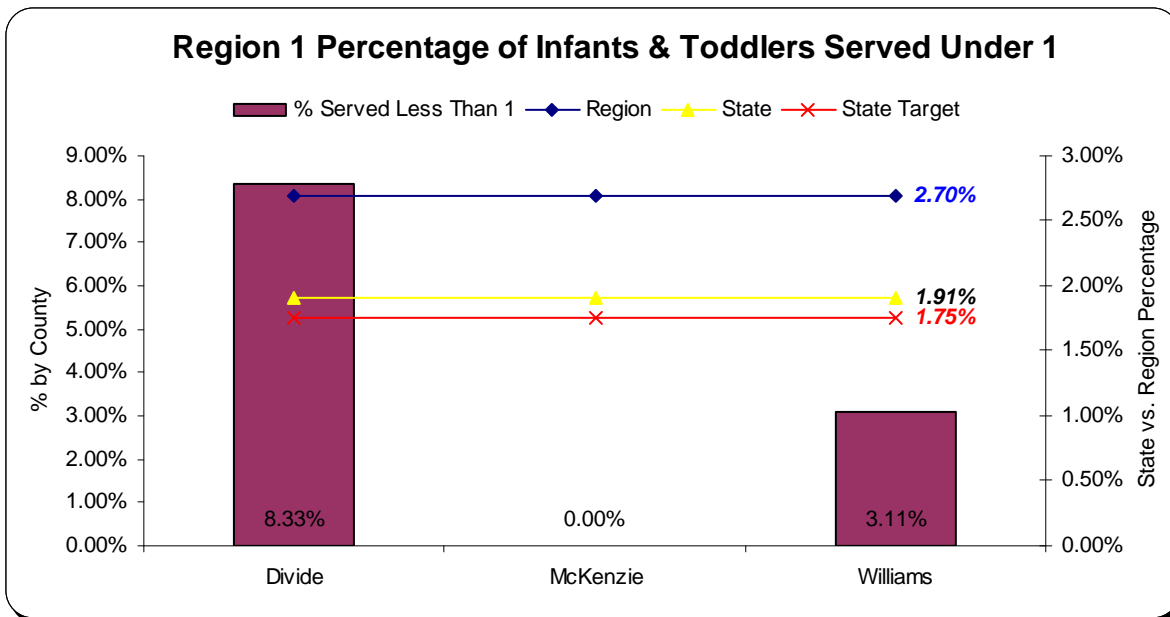
**Discussion of Baseline Data:**

Write stuff here.

## Percentage of Infants and Toddlers Served

County #	County	Less Than 1 in ID on 4/01/2007	Children Less Than 1 Living in County	% Served Less Than 1
12	Divide	1	12	8.33%
27	McKenzie	0	59	0.00%
53	Williams	7	225	3.11%
<b>Region I</b>		<b>8</b>	<b>296</b>	<b>2.70%</b>
State		146	7,660	<b>1.91%</b>
State Target				<b>1.75%</b>

4/1/2007



### Percentage of Infants and Toddlers Served under 1

	Jul-Sept. 06 Region 1	Oct.-Dec. 06 Region 1	Jan.-Mar. 07 Region 1	Apr.-Jun. 07 Region 1	Current Qtr. Percentage
Divide	0	1	1		8.33%
McKenzie	0	0	0		0.00%
Williams	6	11	7		3.11%
<b>Region I</b>	<b>6</b>	<b>12</b>	<b>8</b>		<b>2.70%</b>
State	146	-	-	-	1.91%
Percentage	2.03%	4.05%	2.70%	%	-
State Target	-	-	-	-	<b>1.75%</b>

### Percentage of Infants and Toddlers Served under 1 Male/Female Breakdown

	Jul-Sept. 06		Oct.-Dec. 06		Jan.-Mar. 07		Apr.-Jun. 07	
	Male	Female	Male	Female	Male	Female	Male	Female
Divide	N/A	N/A	1	0	1	0		
McKenzie	N/A	N/A	0	0	0	0		
Williams	N/A	N/A	5	6	3	4		

**Measurable Rigorous Targets:**

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	1.75 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2006 (2006 - 2007)	1.78 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2007 (2007 - 2008)	1.81 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2008 (2008 - 2009)	1.84 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2009 (2009 - 2010)	1.87 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2010 (2010 - 2011)	1.90 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
Region I has met and exceeded the State target of 1.78. The Region will continue ongoing child find efforts.	Ongoing	DD case management and Infant Development program staff

Monitoring Priority: Effective General Supervision Part C/Child Find

**Part C Priority Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to:**  
**A. State data.**

Measurement:

A. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to North Dakota.

**Baseline Data:**

Quarterly data indicated that on April 1, 2007, the Region 1 early intervention system was serving 33 infants and toddlers birth to 3. The total population of Region 1 infants and toddlers birth to 3 was 920. **3.59 percent** of the total population under 3 was served.

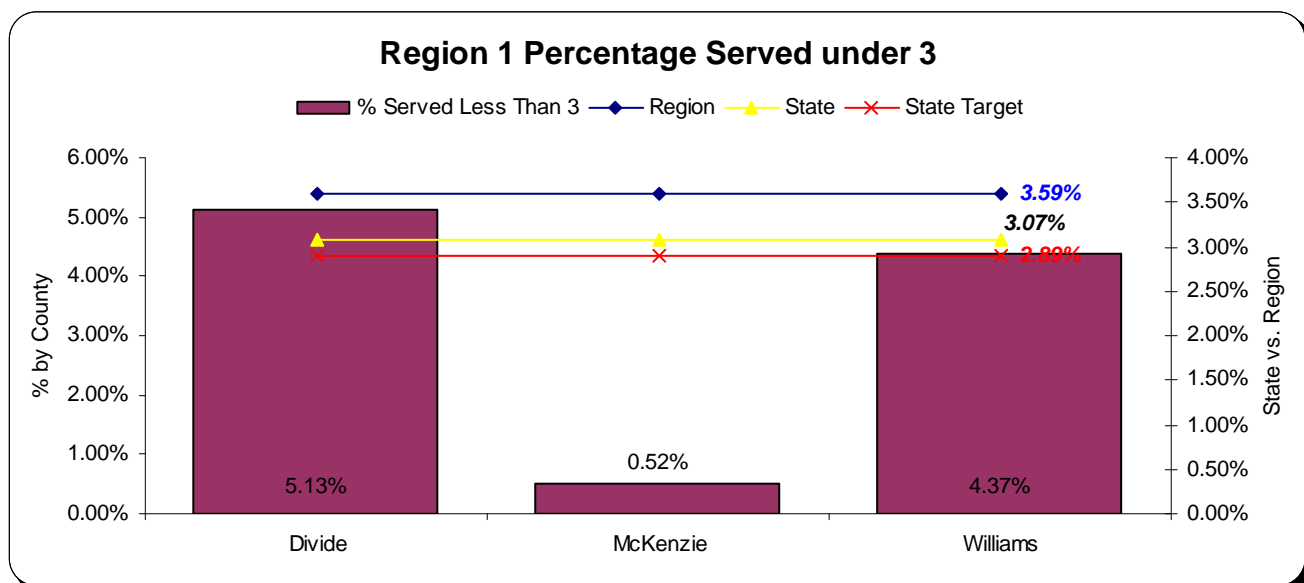
**Discussion of Baseline Data:**

Data is pulled from ASSIST query and located in the Excel file on tab labeled 'Indicator 6 Region 1'.

## Percentage of Infants and Toddlers Served

County #	County	Number in ID on 4/01/2007	Children Less Than 3 Living in County	% Served Less Than 3
12	Divide	2	39	5.13%
27	McKenzie	1	194	0.52%
53	Williams	30	687	4.37%
<b>Region I</b>		<b>33</b>	<b>920</b>	<b>3.59%</b>
State		718	23,357	<b>3.07%</b>

4/1/07



### Percentage of Infants and Toddlers Served under 3

	Jul-Sept. 06 Region 1	Oct.-Dec. 06 Region 1	Jan.-Mar. 07 Region 1	Apr.-Jun. 07 Region 1	Current Qtr. Percentage
Divide	1	2	2		5.13%
McKenzie	3	3	1		0.52%
Williams	6	29	30		4.37%
<b>Region I</b>	<b>10</b>	<b>34</b>	<b>33</b>		<b>3.59%</b>
State	718	-	-	-	3.07%
Percentage	1.09%	3.70%	3.59%	%	-
State Target	-	-	-	-	<b>2.89%</b>

### Percentage of Infants and Toddlers Served under 3 Male/Female Breakdown

	Jul-Sept. 06		Oct.-Dec. 06		Jan.-Mar. 07		Apr.-Jun. 07	
	Male	Female	Male	Female	Male	Female	Male	Female
Divide	N/A	N/A	2	0	2	0		
McKenzie	N/A	N/A	2	1	0	1		
Williams	N/A	N/A	18	11	19	11		

### Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	2.89 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2006 (2006 - 2007)	2.98 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2007 (2007 - 2008)	3.07 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2008 (2008 - 2009)	3.16 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2009 (2009 - 2010)	3.25 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2010 (2010 - 2011)	3.34 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.

### Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
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Region I has met and exceeded the State target of 3.07. The Region will continue ongoing child find efforts.	Ongoing	DD case management and Infant Development program staff

Monitoring Priority: Effective General Supervision Part C/Child Find

**Part C Priority Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.**

Measurement:

Percent = # of eligible infants and toddlers birth to 1 with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline divided by # of eligible infants and toddlers evaluated and assessed times 100.

Account for untimely evaluations.

**Overview of Issue/Description of System Process:**

**Baseline Data:**

From X date to x date, xx eligible infants and toddlers had evaluations, assessments and an initial IFSP meeting conducted within 45 days of referral. Xx infants and toddlers were found eligible. Xx percent of eligible infants and toddlers had evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.

**Discussion of Baseline Data:**

Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

**Case Review Data (April, May, June 2006) – Without Accounting for Family Reasons**

Compliance % by Region & Component, Statewide

Monitoring Survey Item and (ITEM no.)	Region 1		Statewide	
	+	% +	+	% +
45 Day Timeline	1	100%	25	75.76%
Multi-Disciplinary Evaluation	1	100%	24	96.00%
Gross Motors	1	100%	24	96.00%
Fine Motor	1	100%	25	100%
Vision	1	100%	20	80.00%
Hearing	1	100%	10	40.00%
Cognitive	1	100%	20	80.00%
Communication	1	100%	23	92.00%
Adaptive	1	100%	24	96.00%
Social/Emotional	1	100%	23	92.00%

Statewide, of the 33 files, there were 25 files within the 45 day timeline. Of those, I looked to see if each of those files had the other components. If so, they are represented in the "+" column; if not, "-". Numerator is number of files within the 45 day timeline. Denominator is the total files in each region.

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	Region 1	Region 1	Region 1	Region 1	State Comparison
45 Day Timeline	100%				75.76%
Multi-Disciplinary Evaluation	100%				96.00%
Gross Motors	100%				96.00%
Fine Motor	100%				100%
Vision	100%				80.00%
Hearing	100%				40.00%
Cognitive	100%				80.00%
Communication	100%				92.00%
Adaptive	100%				96.00%
Social/Emotional	100%				92.00%

### Case Review Data (April, May, June 2006) –Accounting for Family Reasons

Compliance % by Region & Component, Statewide

Monitoring Survey Item and (ITEM no.)	Region 1*		Statewide	
	+	% +	+	% +
45 Day Timeline	1	100%	33	100%
Multi-Disciplinary Evaluation	1	100%	32	96.97%
Gross Motors	1	100%	31	93.94%
Fine Motor	1	100%	33	100%
Vision	1	100%	28	84.85%
Hearing	1	100%	14	42.42%
Cognitive	1	100%	28	84.85%
Communication	1	100%	31	93.94%
Adaptive	1	100%	32	96.97%
Social/Emotional	1	100%	31	93.94%

Statewide, of the 33 files, accounting for those past the 45 days due to family reasons, there were 33 files within the 45 day timeline. Of those, I looked to see if each of those files had the other components. If so, they are represented in the "+" column; if not, "-". Numerator is number of files within the 45 day timeline. Denominator is the total files in each region.

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	Region 1	Region 1	Region 1	Region 1	State Comparison
45 Day Timeline	100%				100%
Multi-Disciplinary Evaluation	100%				96.97%
Gross Motors	100%				93.94%
Fine Motor	100%				100%
Vision	100%				84.85%
Hearing	100%				42.42%
Cognitive	100%				84.85%
Communication	100%				93.94%
Adaptive	100%				96.97%
Social/Emotional	100%				93.94%

**Measurable Rigorous Targets:**

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2006 (2006 - 2007)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2007 (2007 - 2008)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2008 (2008 - 2009)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2009 (2009 - 2010)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2010 (2010 - 2011)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
100% of the eligible children will have IFSP meeting within Part C 45 day timeline. There may be extenuating circumstances when the 45 day timeline is not met. In all cases, if the 45 day timeline is unable to be met, documentation will be included in the Present Level of Performance section of the IFSP.	Immediately and ongoing	IFSP team, including parent/guardian, DD case management and Infant Development Early Interventionist
A multi-disciplinary team will have evaluated 100 % of eligible children.	Immediately and ongoing	IFSP team, including parent/guardian, DD case management and Infant Development Early Interventionist
100 % of all eligible children will be evaluated in the areas of gross motor, fine motor, vision, hearing, cognitive, communications, adaptive, social/emotional.	The following areas will be done immediately, gross motor, fine motor, cognitive, communications, adaptive, social/emotional. The area of hearing screening will be implemented as soon as the State office of DD obtains OAE's and provides training for EI staff.	IFSP team, including parent/guardian, DD case management and Infant Development Early Interventionist

Northwest Infant Development support staff will be given access to the ASSIST program in order to assist ID early intervention staff with required data entry. Support staff will be provided with training on how to use the ASSIST program.	To be completed by mid July 2007	Betty A. Omvig, Early Interventionist will facilitate the provide training to the support staff
Prior notices of scheduled meetings; initial evaluation reports and other pertinent correspondences will be entered into Lotus Notes data base under the Standard Correspondence tab	July 2007	Infant Development staff
Procedures of how to create and enter data into the Lotus Notes database will be completed.	July 2007	Betty A. Omvig

Monitoring Priority: Effective General Supervision Part C/Effective Transition

**Part C Priority Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:**

- A. IFSPs with transition steps and services;**
- B. Notification to LEA, if child potentially eligible for Part B; and**
- C. Transition conference, if child potentially eligible for Part B.**

Measurement:

- A. Percent = # of children exiting Part C who have an IFSP with transition steps and services divided by # of children exiting Part C times 100.
- B. Percent = # of children exiting Part C and potentially eligible for Part B where notification to LEA occurred divided by # of children exiting Part C who were potentially eligible for Part B times 100.
- C. Percent = # of children exiting Part C and potentially eligible for Part B where the transition conference occurred divided by # of children exiting Part C who were potentially eligible for Part B times 100.

#### Overview of Issue/Description of System Process:

#### Baseline Data:

A. Statewide, 20 of the sampled children exiting Part C had an IFSP with transition steps and services included in their IFSP. 21 children exiting Part C were sampled. **95.24** percent had an IFSP with transition steps and services. Regionally, 100 percent had an IFSP with transition steps and services.

Transition Issues identified and steps included to prepare family for transition			
Region	# in Compliance	Of How Many	Percentage
1	1	1	100.00%
Statewide	20	21	<b>95.24%</b>

B. Statewide, LEAs were notified for 20 of the sampled children who were exiting Part C and were potentially eligible for Part B. 21 children exiting Part C and potentially eligible for Part B were sampled. LEAs were notified for **95.24** percent of the sampled children who were exiting Part C and were potentially eligible for Part B. Regionally, LEAs were notified for 100 percent of the sampled children who were exiting Part C and were potentially eligible for Part B.

Transition Issues identified and steps included to prepare family for transition			
Region	# in Compliance	Of How Many	Percentage

1	1	1	100.00%
<b>Statewide</b>	20	21	<b>95.24%</b>

C. Statewide, 15 of the sampled children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday. 21 children exiting Part C and potentially eligible for Part B were sampled. **71.43%** percent of the sample children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday. Regionally, 0 percent of the sampled children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday.

Transition Issues identified and steps included to prepare family for transition			
<b>Region</b>	<b># in Compliance</b>	<b>Of How Many</b>	<b>Percentage</b>
1	0	1	0.00%
<b>Statewide</b>	15	21	<b>71.43%</b>

#### Discussion of Baseline Data:

Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

#### Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.
2006 (2006 - 2007)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.
2007 (2007 - 2008)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.
2008 (2008 - 2009)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.
2009 (2009 - 2010)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.
2010 (2010 - 2011)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 <sup>rd</sup> birthday.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
<p>A. 100 percent of children exiting Part C will have an IFSP with transition steps and services.</p> <p>B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B.</p> <p>C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3<sup>rd</sup> birthday.</p> <p>In the event that transition conferences do not occur within 90 days prior to the child's third birthday, documentation will be placed in the child's case file and on lotus notes in the standard communication section.</p>	Immediately and ongoing	IFSP team, consisting of the parent/guardian, DD Case Manager, Infant Development Early Interventionist and the Part B program staff from the receiving pre-school.
Region I Part B and Part C staff will meet to discuss the Federal requirements for transition activities and the locus of responsibility for each of the programs. Discussion will include transition activities planning scheduled to occur during summer months.	Fall 2007 after a new school year begins	Betty A. Omvig, Early Interventionist

Monitoring Priority: Effective General Supervision Part C/ General Supervision

**Part C Priority Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.**

**Measurement:**

- A. Percent of noncompliance related to monitoring priority areas and indicators corrected within one year of identification.
- # of findings of noncompliance made related to priority areas.
  - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

- B. Percent of noncompliance related to areas not included in the above monitoring priority areas and indicators corrected within one year of identification.
- # of findings of noncompliance made related to such areas.
  - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

- C. Percent of noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.) corrected within one year of identification.
- # of EIS programs in which noncompliance was identified through other mechanisms.
  - # of findings of noncompliance made.
  - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – c divided by b times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

#### Overview of Issue/Description of System Process:

Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

#### Baseline Data: Case Review Data (April, May, June 2006)

Overall Compliance by Region & Statewide

Region	Region 1 % in Compliance	State % in Compliance
Compliance (Y/N)	N	N
Ratio Non-compliance: Compliant	7/9	7/9

#### Indicator 9 Compliance Data Points: Case Review Data (April, May, June 2006)

Compliance % by Region & Component, & State

Monitoring Survey Item	Region 1 % in Compliance	State % in Compliance
IFSP Effective Date	100.00%	100.00%
Functional & Measurable	75.00%	47.54%
Location of Services	100.00%	100.00%
Individual or Group	100.00%	100.00%
Delivery Method	100.00%	100.00%
Funding Source	100.00%	100.00%
Service Duration	100.00%	100.00%
Parent's Rights Documented	100.00%	100.00%
Rationale	75.00%	63.16%



6 Month & Annual Review	0.00%	0.00%
Written Prior Notice Provided	0.00%	0.00%

### Indicator 9 Performance Data Points: Case Review Data (April, May, June 2006)

Progress % by Region & Component, & State

Monitoring Survey Item	Region 1 % of Progress	State % of Progress
Present Level of Performance	50.00%	14.83%
Child's Interest	75.00%	35.50%
IFSP Date	100.00%	88.82%
Minimum Participants Documented	0.00%	21.30%
Review of Pertinent Records	75.00%	75.11%
PLP Based on Objective Criteria	75.00%	54.20%
Early Literature	75.00%	55.19%
IFSP Included People Important to Family	25.00%	33.95%
Priorities Linked to Concerns, Strengths & Interests.	25.00%	16.72%
Included Family Interview	75.00%	56.04%
Priorities Ranked	0.00%	1.56%
Services and Supports Identified	50.00%	69.62%
Reflect Family Priorities	25.00%	39.72%
Developmentally Appropriate	75.00%	60.90%
Includes pre-literacy and language	50.00%	48.57%
Includes Routines Based Activities	25.00%	42.02%
Includes Use of Lay Language	25.00%	41.08%
Measurable Functional Activities	100.00%	46.19%
Frequency/Intensity Linked to Outcomes	0.00%	30.39%
Consultations Documented	25.00%	41.55%
Services	50.00%	21.39%
Devices	50.00%	23.66%
Discuss appropriate services	25.00%	22.50%
Review child's program options	25.00%	27.81%
Established Transition Plan	0.00%	19.06%
Steps taken to support child	0.00%	18.97%
Procedures to prepare child for new setting	0.00%	8.04%

Discussions of training of parents in training of future placement	0.00%	6.25%
Periodic Review Completed	0.00%	14.29%
Date and Team Members Included	50.00%	26.90%
Required IFSP Participants	25.00%	19.91%
	<b>Region 1 % of Progress</b>	<b>State % of Progress</b>
<b>Cumulative % toward 70% Target (gap)</b>	<b>39.29% (30.71%)</b>	<b>36.46% (33.54%)</b>

## Discussion of Baseline Data:

### Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2006 (2006 - 2007)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2007 (2007 - 2008)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2008 (2008 - 2009)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2009 (2009 - 2010)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2010 (2010 - 2011)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.

**Improvement Activities/ Timelines/ Resources:**

Improvement Activities	Timelines	Resources/ Person(s) Responsible
DD Case Management and ID Early Intervention staff will review all the IFSP monitoring data from the North Dakota Early Intervention Case Review Form. This form will serve as a template to assure compliance of the IFSP.	Immediately an ongoing	IFSP team, consisting of Parent/guardian, DD Case Management and Infant Development Early Intervention staff.
Early Intervention staff will review the required components of developing IFSP outcomes, criteria and activities.	July 2007 and ongoing	Infant Development Early Intervention staff
Infant Development will review at least one file from each staff member on a quarterly basis	September 2007 and ongoing	Infant Development Early Intervention staff